

ALCATEL

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## FACSIMILE TRANSMITTAL

**TO:** Mail Stop Missing Parts  
**COMPANY:** U.S. Patent and Trademark Office  
**FAX NO.:** 703-746-4060

**FROM:** Jerri Pearson  
**COMPANY:** Alcatel USA  
**FAX NO.:** Intellectual Property Department  
**SENDER'S TELEPHONE NO.:** 972-477-9328  
**SENDER'S TELEPHONE NO.:** 972-477-9128

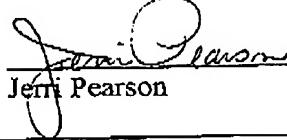
**DATE:** January 18, 2005  
**TOTAL PAGES (incl. cover)** 30



Serial No: 10/751,098  
 Attorney Docket No.: 134158

I hereby certify that the following documents are being transmitted via facsimile to the U.S. Patent and Trademark Office on January 18, 2005. Each document is comprised of 1 page unless otherwise specified.

1. Facsimile Transmittal
2. Response to Notice to File Missing Parts of NonProvisional Application
3. Notice to File Missing Parts of Nonprovisional application
4. Fee Transmittal for FY 2005
5. Declaration and Power of Attorney for Patent Application (13 pgs)
6. Recordation Form Cover Sheet Patents Only
7. Assignment (12 pgs)

  
 Jerri Pearson

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PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>Effective on 12/08/2004.</b> <small>Fee Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</small>		<b>Complete If Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Application Number</b> <u>10/751,098</u> <b>Filing Date</b> <u>12/31/2003</u> <b>First Named Inventor</b> <u>Harpal Mann</u> <b>Examiner Name</b> <u>Unknown</u> <b>Art Unit</b> <u>2661</u> <b>Attorney Docket No.</b> <u>134158</u>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b>	(\$) <u>130.00</u>		

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_  
 Deposit Account Deposit Account Number: 50-0838 Deposit Account Name: ALCATEL

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

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**FEE CALCULATION**
**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	
Utility	300	150	500	250	200	100	<u>1,000.00</u>
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

<u>Fee Description</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent		50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100	
Multiple dependent claims	360	180	

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 20 or HP =	0	x 50.00	= 0.00			
HP = highest number of total claims paid for, if greater than 20				360.00		0.00
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 3 or HP =	0	x 200.00	= 0.00			
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	0	/50 = 0 (round up to a whole number)	x 250.00	= 0.00

<u>Fee Paid (\$)</u>
0.00
130.00

<b>SUBMITTED BY</b>	
<b>Signature</b>	
<b>Name (Print/Type)</b>	<u>Craig A. Hoersten</u>
<b>Registration No.</b> <u>38,917</u> <small>(Attorney/Agent)</small>	
<b>Telephone</b> <u>(972) 519-5143</u>	
<b>Date</b> <u>1/18/2005</u>	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Serial No.: 10/751,098  
Examiner: Unknown

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harpal Mann et al  
Serial No.: 10/751,098  
Filed: 12/31/2003  
Title: Virtual Chassis for Continuous Switching

Docket No.: 134158  
Art Unit: 2661  
Examiner: Unknown

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

MAIL STOP MISSING PARTS  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

## Certificate Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being transmitted via facsimile to the Patent Office to telephone number 703-746-4060, on January 18, 2005 in accordance with 37 C.F.R. §1.6(d).

By:   
Terri Pearson

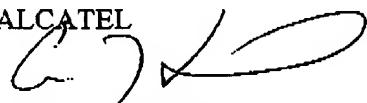
Enclosed are the following documents:

- Notice to File Missing Parts of Application, Filing Date Granted, mailed 01/11/2005;
- Declaration and Power of Attorney for inventor, Michele Goodwin, Eric Guinee, and Ronan Le Guen, signature dated 6/29/2004; 6/15/2004; and 6/15/2004; and
- Assignment and Recordation Cover Sheet for inventor, Michele Goodwin, Eric Guinee, and Ronan Le Guen, signature dated 6/29/2004; 6/15/2004 and 6/15/2004.

Applicants respectfully request that the filing date of 12/31/2003 be granted for this application.

Respectfully submitted,

ALCATEL



Craig A. Hoersten  
Reg. No. 38,917

Dated: 1-18-05  
Alcatel USA  
3400 W. Plano Parkway, M/S LEGL2  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (C) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/751,098	12/31/2003	Harpal Mann	134158

35114  
ALCATEL INTERNETWORKING, INC.  
ALCATEL-INTELLECTUAL PROPERTY DEPARTMENT  
3400 W. PLANO PARKWAY, MS LEGL2  
PLANO, TX 75075

CONFIRMATION NO. 1335  
FORMALITIES LETTER  
  
\*OC000000014922096\*

Date Mailed: 01/11/2005

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Michele Goodwin, Eric Guinee, Ronan Le Guen*

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*

*b1 b7c*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

01/21/2005 MAHME1 00000079 500838 10751098 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:1051 130.00 DA